

18334 U.S. PTO
08/25/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING APPLICATION UNDER 37 C.F.R. 1.53(b)
WITHOUT FILING FEE AND/OR WITHOUT EXECUTED INVENTOR'S DECLARATION

Mail Stop Patent Application

Atty. Dkt. 4112-7

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

Date: August 25, 2003

This is a request for filing a new PATENT APPLICATION under Rule 53(b) entitled:

BIPOLAR TRANS CARTENOID SALTS AND THEIR USES

without a filing fee and/or without an executed inventor's oath/declaration.

This application is made by the below identified inventor(s). Attached hereto are the following papers:

- ☐ Newly executed Declaration, ☐ Copy of Declaration from prior application, ☒ Abstract
☐ Please **delete** the following inventors in the continuation/division/continuation-in-part application:

Deleted persons:

68 pages of specification and claims (including 60 numbered claims), and
sheets of accompanying drawing/s.

☐ Attached is a Power of Attorney.

☒ This application claims the benefit of Provisional Application No. 60/358,712, filed February 25, 2002, the
entire content of which is hereby incorporated by reference in this application.

☒ This application is a continuation-in-part of Application No. 10/372,717, filed February 25, 2003, which
claims the benefit of Provisional Application No. 60/358,718, filed February 25, 2002, the entire content of
which is hereby incorporated by reference in this application.

☐ The prior application is assigned to

☐ It is hereby requested that the Examiner consider the art cited in the above parent application(s) by applicant and/or
the Examiner for the reasons stated therein. A listing of that art is attached, but pursuant to Rule 98(d) copies are
not required.

☒ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☐ Please enter the attached and/or below preliminary amendment **prior** to calculation of filing fee:

☐ Also attached: ☐ Information Disclosure Statement; ☐ Non-Publication Request; ☐ Nucleotide and/or
Amino Acid Sequence Submission; ☐ Statement deleting Inventor(s) named in prior application; ☐ Other:

1. Inventor: John L. GAINER U.S.
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(Zip Code) 63043

☐ See attached sheet(s) for additional inventor(s) information!!

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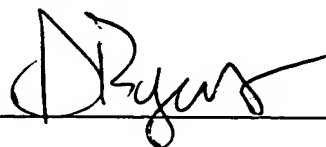
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